



NOTICE TO EMPLOYEES AND APPLICANTS

This notice was posted as part of the remedy agreed to after investigation of a charge and execution of a settlement agreement between National Securities Corporation and the U.S. Equal Employment Opportunity Commission ("EEOC").

Federal laws enforced by the EEOC require that there be no discrimination against any employee or applicant for employment with respect to hiring, promotion, firing, compensation or other terms, conditions or privileges of employment because of the individual's race, color, religion, sex, national origin, age (40 and over), disability or genetic information.

National Securities Corporation supports and will comply with the above laws in all respects and agrees that its management and other staff responsible for making employment and personnel decisions will abide by the requirements of those laws, including by ensuring that its employment-related advertisements and job postings do not contain terms or phrases which are likely to deter the employment of older persons and that applicants are considered for employment without regard to prohibited factors such as age.

National Securities Corporation further agrees that it will not take any adverse action against employees or applicants for employment who complain of discrimination, bring discrimination charges, testify as witnesses in such proceedings or otherwise oppose practices made unlawful by the laws the EEOC enforces.

2/14/2020
Date

Deena C. Walsh
for National Securities Corporation